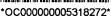




# **FORMALITIES LETTER**





#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/599,526

06/23/2000

Joseph Herbst

108339-09031

Arent Fox Kintner Plotkin & Kahn PLLC 1050 Connecticut Avenue NW Suite 600 Washington, DC 20036-5339



Date Mailed: 08/11/2000

NOTICE TO FILE MISSING PART MNPROVISIONAL APPLICATION

FILED UNDER 37 ČFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$192.
  - \$36 for 2 total claims over 20.
  - \$156 for 2 independent claims over 3.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 1012.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/12/2000 HNOOR1 00000024 09599526

02 FC:102





### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Joseph HERBST

Application No.: 09/599,526

Filed: June 23, 2000

For: APPARATUS AND METHOD FOR STORING DATA

## RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents Washington, D.C. 20231

**ATTN - BOX MISSING PARTS** 

Date: October 11, 2000

Attorney Dkt. No.: 108339-09031

Sir:

In response to the Notice to File Missing Parts of Application dated August 11, 2000 (copy attached), the following are enclosed:

$\boxtimes$	\$130.00 as payment of the surcharge for the Notice to File Missing Parts;		
$\boxtimes$	\$906.00 as payment for the filing fee and extra claim fees;		
	\$ as payment for a month extension of time;		
$\boxtimes$	Originally-executed Declaration/Power of Attorney;		
	Originally-executed Verified Statement Claiming Small Entity Status;		
	Verified Translation of the Application [with a Preliminary Amendment]; and		
	Other.		
Applicants(s) are also enclosing the following:			

	A Information Disclosure Statement with Form PTO-1449, refs. [and
a search re	port];
	A Submission of Priority Application No filed along with
cert	ified priority document(s);
$\boxtimes$	Originally executed Assignment with Form PTO-1595; and
$\boxtimes$	\$40.00 as payment for the recordation of the Assignment.
The	Examiner is respectfully requested to acknowledge that all of the
requiremen	nts of 35 U.S.C. §111 have been met.
A ch	neck in the amount of \$1,076.00 is enclosed to cover the above fees.
Plea	ase charge any fee deficiency or credit any overpayment to Deposit Account
No. 01-230	0.
	Respectfully submitted,
	$\mathcal{L}_{a}$

Douglas A. Goldhush Registration No. 33,125

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